

# ECS455: Chapter 5

## OFDM



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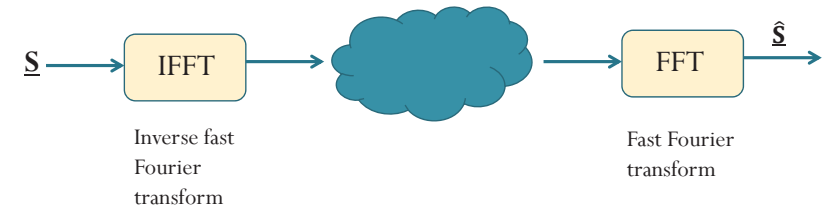
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**Wednesday** 14:20-15:20  
**Friday** 9:15-10:15

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## OFDM: Overview

- Let  $\underline{S} = (S_1, S_2, \dots, S_N)$  contains the information symbols.



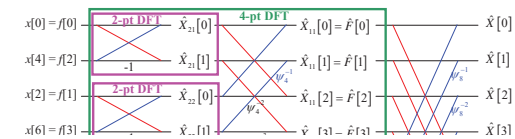
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## OFDM Applications

- 802.11 **Wi-Fi**: a/g/n/ac versions
- DVB-T** (Digital Video Broadcasting — Terrestrial)
  - terrestrial digital TV broadcast system used in most of the world outside North America
- DMT (the standard form of **ADSL** - Asymmetric Digital Subscriber Line)
- WiMAX, LTE (OFDMA)**

Wireless	Wireline
IEEE 802.11a, g, n (WiFi) Wireless LANs	ADSL and VDSL broadband access via POTS copper wiring
IEEE 802.15.3a Ultra Wideband (UWB) Wireless PAN	MoCA (Multi-media over Coax Alliance) home networking
IEEE 802.16d, e (WiMAX), WiBro, and HiperMAN Wireless MANS	PLC (Power Line Communication)
IEEE 802.20 Mobile Broadband Wireless Access (MBWA)	
DVB (Digital Video Broadcast) terrestrial TV systems: DVB-T, DVB-H, T-DMB, and ISDB-T	
DAB (Digital Audio Broadcast) systems: EUREKA 147, Digital Radio Mondiale, HD Radio, T-DMB, and ISDB-TSB	
Flash-OFDM cellular systems	
3GPP UMTS & 3GPP@ LTE (Long-Term Evolution) and 4G	

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## OFDM

### 5.1 Implementation: DFT and FFT



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## OFDM and CDMA

- CDMA's key equation  $\underline{\mathbf{s}} = \frac{1}{N} (\underline{\mathbf{s}}\mathbf{C})\mathbf{C}^T$ 
  - All the rows of  $\mathbf{C}$  are orthogonal
- Key property of  $\mathbf{C}$ :  

$$\mathbf{C}\mathbf{C}^T = N\mathbf{I}.$$
- For sync. CDMA, we use the **Hadamard matrix**  $\mathbf{H}_N$ .
- For OFDM, we use **DFT matrix**  $\Psi_N$ .
  - The matrix is complex-valued.

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## DFT matrix $\Psi_N$

$$\Psi_N = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \psi_N^{-1} & \psi_N^{-2} & \cdots & \psi_N^{-(N-1)} \\ 1 & \psi_N^{-2} & \psi_N^{-4} & \cdots & \psi_N^{-2(N-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \psi_N^{-(N-1)} & \psi_N^{-2(N-1)} & \cdots & \psi_N^{-(N-1)(N-1)} \end{bmatrix}$$

Element on the  $p$ th row and  $q$ th column is given by

$$\psi_N^{-(p-1)(q-1)} \text{ where } \psi_N = e^{j\frac{2\pi}{N}}$$

Note that the "-1" are there because we start from row 1 and column 1 (not from row 0 and column 0).

Key Property:  $\Psi_N^{-1} = \frac{1}{N} \Psi_N^*$   $\Rightarrow \frac{1}{\sqrt{N}} \Psi_N$  is a unitary matrix

$$\frac{1}{N} \Psi_N^* \bar{\mathbf{X}} = (\Psi_N)^{-1} \bar{\mathbf{X}} = \text{IDFT}\{\bar{\mathbf{X}}\} = \bar{\mathbf{x}} \xrightleftharpoons[\text{IDFT}]{\text{DFT}} \bar{\mathbf{X}} = \text{DFT}\{\bar{\mathbf{x}}\} = \Psi_N \bar{\mathbf{x}}$$

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## Discrete Fourier Transform (DFT)

Here, we work with  $N$ -point signals (finite-length sequences (vectors) of length  $N$ ) in both time and frequency domain.

$$\bar{\mathbf{x}} = \begin{pmatrix} x[0] \\ x[1] \\ \vdots \\ x[N-1] \end{pmatrix} \xrightarrow{\text{DFT}} \bar{\mathbf{X}} = \begin{pmatrix} X[0] \\ X[1] \\ \vdots \\ X[N-1] \end{pmatrix}$$

$$\bar{\mathbf{X}} = \text{DFT}\{\bar{\mathbf{x}}\} = \Psi_N \bar{\mathbf{x}}$$

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## Example: $N = 2$

- $\psi_2$
- $\Psi_2$
- Suppose  $\bar{\mathbf{x}} = \begin{pmatrix} 2 \\ 5 \end{pmatrix} \rightarrow \text{DFT} \rightarrow \bar{\mathbf{X}} =$

```
>> fft([2 5])
ans =
    7    -3
>> ifft([7 -3])
ans =
    2    5
```

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## Connection to CDMA

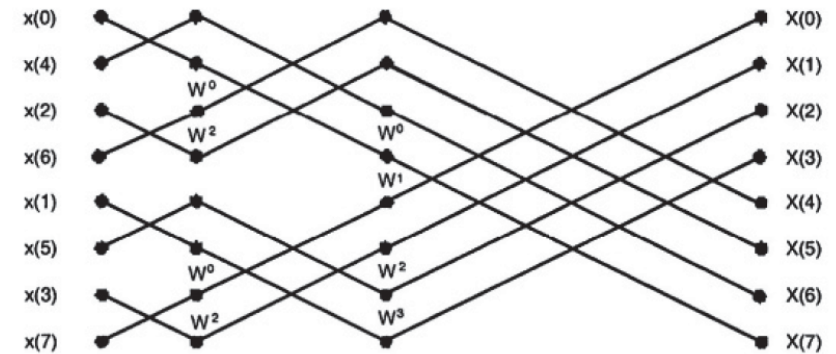
- The rows of  $\Psi_N$  are orthogonal. So are the columns.
- Proof: Let  $\mathbf{r}^{(k)}$  be the  $k^{\text{th}}$  row of  $\Psi_N$ .

$$\begin{aligned} \langle \mathbf{r}^{(k_1)}, \mathbf{r}^{(k_2)} \rangle &= \sum_{q=1}^N \psi_N^{-(k_1-1)(q-1)} \left( \psi_N^{-(k_2-1)(q-1)} \right)^* = \sum_{q=1}^N \psi_N^{-(k_1-1)(q-1)} \psi_N^{(k_2-1)(q-1)} \\ &= \sum_{q=1}^N \left( \psi_N^{(k_2-k_1)} \right)^{(q-1)} = \sum_{q=0}^{N-1} \left( \psi_N^{(k_2-k_1)} \right)^q \\ &= \begin{cases} \frac{1 - \psi_N^{(k_2-k_1)N}}{1 - \psi_N^{(k_2-k_1)}} = \frac{1 - \left( e^{j\frac{2\pi}{N}} \right)^{(k_2-k_1)N}}{1 - \psi_N^{(k_2-k_1)}} = \frac{1-1}{1 - \psi_N^{(k_2-k_1)}} = 0, & k_1 \neq k_2, \\ \sum_{q=0}^{N-1} (1)^q = N, & k_1 = k_2. \end{cases} \end{aligned}$$

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- So,  $\Psi_N$  “replaces” the role of  $\mathbf{H}_N$  in CDMA.

## Efficient Implementation: (I)FFT



[Bahai, 2002, Fig. 2.9]

An  $N$ -point FFT requires only on the order of  $N \log N$  multiplications, rather than  $N^2$  as in a straightforward computation.

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## Discrete Fourier Transform (DFT)

Matrix form:

$$\frac{1}{N} \Psi_N^* \bar{\mathbf{X}} = \text{IDFT} \{ \bar{\mathbf{X}} \} = \bar{\mathbf{x}} \xleftrightarrow[\text{IDFT}]{\text{DFT}} \bar{\mathbf{X}} = \text{DFT} \{ \bar{\mathbf{x}} \} = \Psi_N \bar{\mathbf{x}}$$

Pointwise form:

$$\frac{1}{N} \sum_{k=0}^{N-1} X[k] \psi_N^{nk} = x[n] \xleftrightarrow[\text{IDFT}]{\text{DFT}} X[k] = \sum_{n=0}^{N-1} x[n] \psi_N^{-nk}$$

or, equivalently,

$$\frac{1}{N} \sum_{n=0}^{N-1} X[k] e^{jnk \frac{2\pi}{N}} = x[n] \xleftrightarrow[\text{IDFT}]{\text{DFT}} X[k] = \sum_{n=0}^{N-1} x[n] e^{-jnk \frac{2\pi}{N}}$$

Comparison with Fourier transform

$$x(t) = \int_{-\infty}^{\infty} X(f) e^{j2\pi ft} df \xleftrightarrow[\mathcal{F}^{-1}]{\mathcal{F}} X(f) = \int_{-\infty}^{\infty} x(t) e^{-j2\pi ft} dt$$

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## FFT

- The history of the FFT is complicated.
- As with many discoveries and inventions, it arrived before the (computer) world was ready for it.
- Usually done with  $N$  a power of two.
  - Very efficient in terms of computing time
  - Ideally suited to the binary arithmetic of digital computers.
  - Ex: From the implementation point of view it is better to have, for example, a FFT size of 1024 even if only 600 outputs are used than try to have another length for FFT between 600 and 1024.



References: E. Oran Brigham, *The Fast Fourier Transform*, Prentice-Hall, 1974.

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## OFDM with Memoryless Channel

$$h(t) = \beta \delta(t) \quad [\text{should be } h(t) = \beta \delta(t - \tau)]$$

$$r(t) = h(t) * s(t) + w(t) = \beta s(t) + w(t)$$

Sample every  $T_s/N$

Additive white Gaussian noise

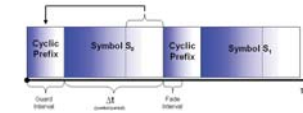
$$r[n] = \beta s[n] + w[n]$$

$$s[n] = \sqrt{N} \text{IFFT}\{S\}[n]$$

$$R_k = \frac{1}{\sqrt{N}} \text{FFT}\{r\}[n] = \beta S_k + \frac{1}{\sqrt{N}} W_k$$

Sub-channel are independent.  
(No ICI)

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## ECS455: Chapter 5

### OFDM

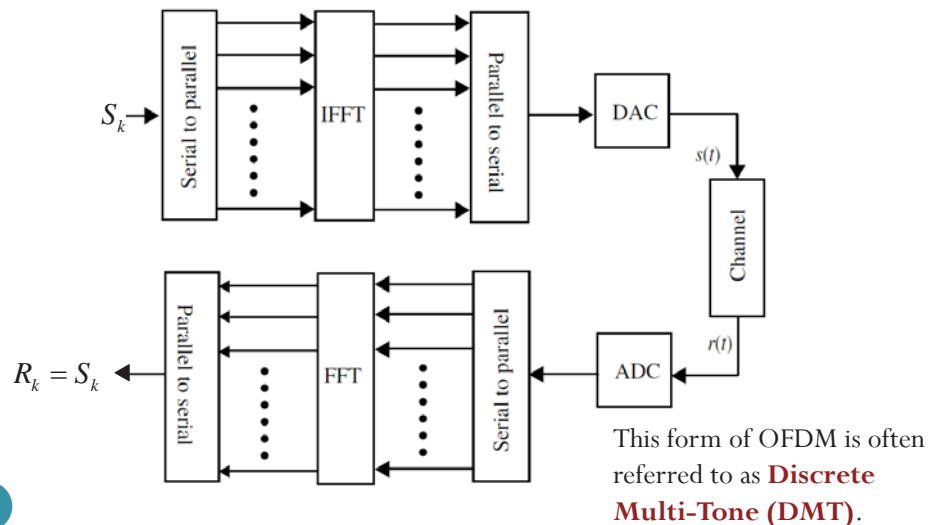
#### 5.2 Cyclic Prefix (CP)



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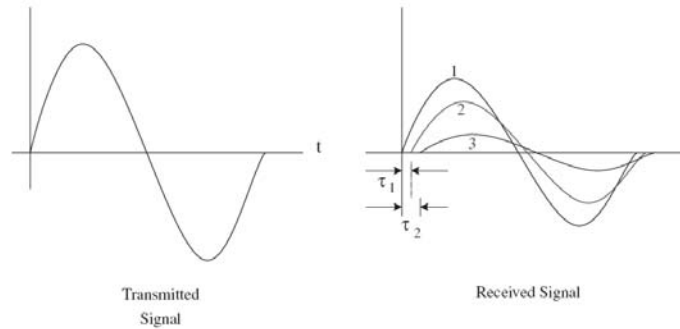
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## OFDM implementation by IFFT/FFT



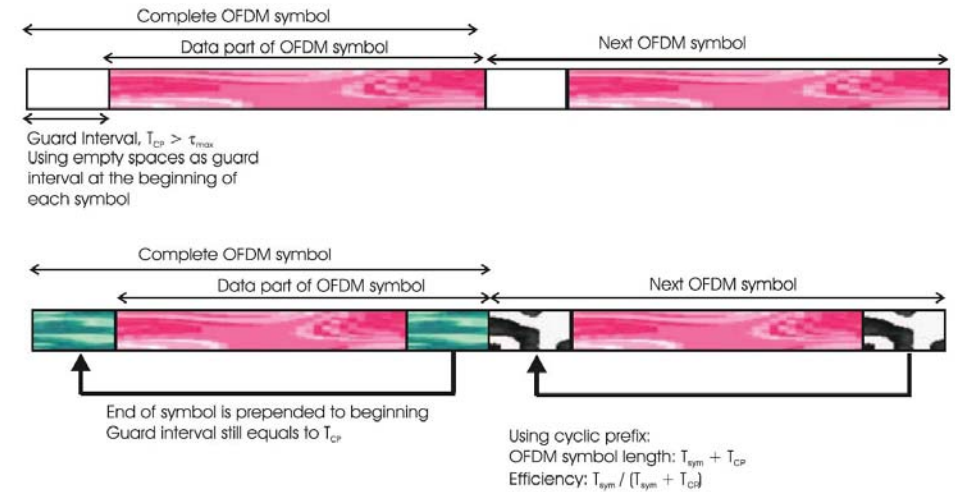
## Cyclic Prefix: Motivation

- To reduce the ISI, add **guard interval** larger than that of the estimated delay spread.
- If the guard interval is left empty, multipath fading will destroy orthogonality of the sub-carriers, i.e., **ICI** (inter-channel interference) still exists.
- Solution:** To prevent **both** the **ISI** as well as the **ICI**, OFDM symbol is **cyclically extended** into the guard interval.



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## Cyclic Prefix



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## Channel with Finite Memory

Discrete time baseband model:

$$y[n] = \{h * s\}[n] + w[n] = \sum_{m=0}^{\nu} h[m]s[n-m] + w[n]$$

[Tse Viswanath, 2005, Sec. 2.2.3]

where  $h[n] = 0$  for  $n < 0$  and  $n > \nu$

$$w[n] \stackrel{i.i.d.}{\sim} \mathcal{CN}(0, N_0)$$

We will assume that  $\nu \ll N$

Remarks:

$Z = X + jY$  is a **complex Gaussian** if  $X$  and  $Y$  are jointly Gaussian.

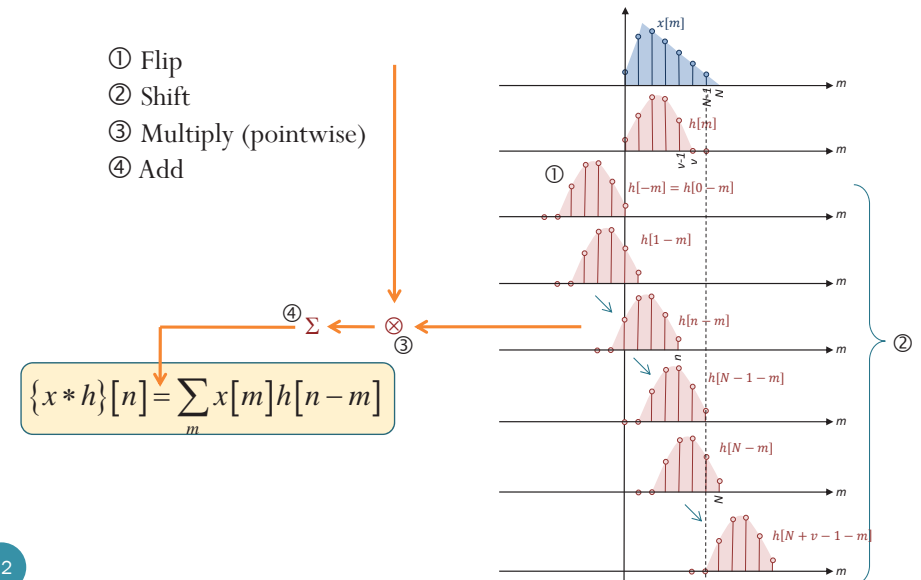
If  $X, Y$  is i.i.d.  $\mathcal{N}(0, \sigma^2)$ , then  $Z = X + jY \sim \mathcal{CN}(0, \sigma_z^2)$  where  $\sigma_z^2 = 2\sigma^2$  with

$$f_Z(z) = f_{X,Y}(\text{Re}\{z\}, \text{Im}\{z\}) = \frac{1}{\pi\sigma_z^2} e^{-\frac{|z|^2}{\sigma_z^2}}$$

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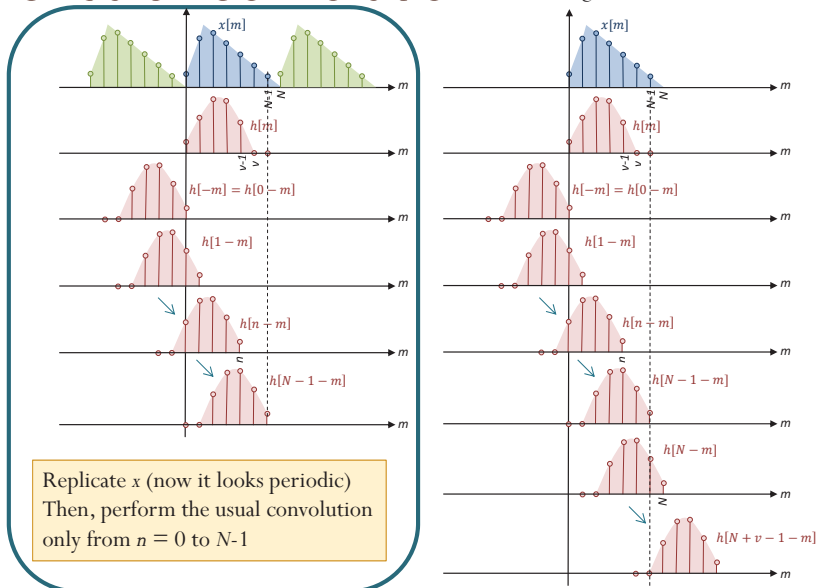
## Recall: Convolution

- ① Flip
- ② Shift
- ③ Multiply (pointwise)
- ④ Add



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## Circular Convolution



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## Discussion

- **Regular convolution** of an  $N_1$ -point vector and an  $N_2$ -point vector gives  $(N_1+N_2-1)$ -point vector.
- **Circular convolution** is performed between two equal-length vectors. The results also has the same length.
- Circular convolution can be used to find regular convolution by **zero-padding**.
  - Zero-pad the vectors so that their length is  $N_1+N_2-1$ .
  - Example:  
 $[1 \ 2 \ 3 \ 0 \ 0] \otimes [4 \ 5 \ 6 \ 0 \ 0] = [1 \ 2 \ 3] * [4 \ 5 \ 6]$

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## Circular Convolution: Examples 1

Find

$$[1 \ 2 \ 3] * [4 \ 5 \ 6]$$

```
>> conv([1,2,3],[4,5,6])
ans =
     4     13     28     27     18
```

$$[1 \ 2 \ 3] \otimes [4 \ 5 \ 6]$$

```
>> cconv([1,2,3],[4,5,6],3)
ans =
    31     31     28
```

$$[1 \ 2 \ 3 \ 0 \ 0] \otimes [4 \ 5 \ 6 \ 0 \ 0]$$

```
>> cconv([1,2,3,0,0],[4,5,6,0,0],5)
ans =
  4.0000 13.0000 28.0000 27.0000 18.0000
```

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## Circular Convolution in Communication

- We want the receiver to obtain the circular convolution of the signal (channel input) and the channel.
- Q: Why?
- A:
  - **CTFT: convolution** in time domain corresponds to **multiplication** in frequency domain.
    - This fact does not hold for DFT.
  - **DFT: circular convolution** in (discrete) time domain corresponds to **multiplication** in (discrete) frequency domain.
    - We want to have multiplication in frequency domain.
    - So, we want circular convolution and not the regular convolution.
- Problem: Real channel does regular convolution.
- Solution: With **cyclic prefix**, regular convolution can be used to create circular convolution.

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## Example 2

$$[1 \ -2 \ 3 \ 1 \ 2] \circledast [3 \ 2 \ 1 \ 0 \ 0] = ?$$

Solution:

$$\begin{array}{rrrrrrrrrrrrrr} 1 & -2 & 3 & 1 & 2 & 1 & -2 & 3 & 1 & 2 & 1 & -2 & 3 & 1 & 2 \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \end{array}$$

Let's look closer at how we carry out the circular convolution operation. Recall that we replicate the  $x$  and then perform the regular convolution (for  $N$  points)

$$\begin{aligned} 1 \times 1 + 2 \times 2 + 1 \times 3 &= 1 + 4 + 3 = 8 \\ 2 \times 1 + 1 \times 2 + (-2) \times 3 &= 2 + 2 - 6 = -2 \\ 1 \times 1 + (-2) \times 2 + 3 \times 3 &= 1 - 4 + 9 = 6 \\ (-2) \times 1 + 3 \times 2 + 1 \times 3 &= -2 + 6 + 3 = 7 \\ 3 \times 1 + 1 \times 2 + 2 \times 3 &= 3 + 2 + 6 = 11 \end{aligned}$$

$$[1 \ -2 \ 3 \ 1 \ 2] \circledast [3 \ 2 \ 1 \ 0 \ 0] = [8 \ -2 \ 6 \ 7 \ 11]$$

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Goal: Get these numbers using regular convolution

## Example 2

$$[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2] * [3 \ 2 \ 1] = ?$$

Copy the last  $v$  samples of the symbols to the beginning of the symbol. This partial replica is called the **cyclic prefix**.

$$\begin{array}{rrrrrrrrrrrrrr} 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \\ 1 & 2 & 3 & & & & & & & & & & & & \end{array}$$

$$\begin{aligned} 1 \times 3 &= 3 \\ 1 \times 2 + 2 \times 3 &= 2 + 6 = 8 \\ 1 \times 1 + 2 \times 2 + 1 \times 3 &= 1 + 4 + 3 = 8 \\ 2 \times 1 + 1 \times 2 + (-2) \times 3 &= 2 + 2 - 6 = -2 \\ 1 \times 1 + (-2) \times 2 + 3 \times 3 &= 1 - 4 + 9 = 6 \\ (-2) \times 1 + 3 \times 2 + 1 \times 3 &= -2 + 6 + 3 = 7 \\ 3 \times 1 + 1 \times 2 + 2 \times 3 &= 3 + 2 + 6 = 11 \\ 1 \times 1 + 2 \times 2 &= 1 + 4 = 5 \\ 2 \times 1 &= 2 \end{aligned}$$

Junk!

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## Example 2

$$[1 \ -2 \ 3 \ 1 \ 2] \circledast [3 \ 2 \ 1 \ 0 \ 0] = ?$$

Observation: We don't need to replicate the  $x$  indefinitely. Furthermore, when  $h$  is shorter than  $x$ , we need only a part of one replica.

Not needed in the calculation

$$\begin{array}{rrrrrrrrrrrrrr} 1 & -2 & 3 & 1 & 2 & 1 & -2 & 3 & 1 & 2 & 1 & -2 & 3 & 1 & 2 \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \\ 0 & 0 & 1 & 2 & 3 & & & & & & & & & & \end{array}$$

$$\begin{aligned} 1 \times 1 + 2 \times 2 + 1 \times 3 &= 1 + 4 + 3 = 8 \\ 2 \times 1 + 1 \times 2 + (-2) \times 3 &= 2 + 2 - 6 = -2 \\ 1 \times 1 + (-2) \times 2 + 3 \times 3 &= 1 - 4 + 9 = 6 \\ (-2) \times 1 + 3 \times 2 + 1 \times 3 &= -2 + 6 + 3 = 7 \\ 3 \times 1 + 1 \times 2 + 2 \times 3 &= 3 + 2 + 6 = 11 \end{aligned}$$

$$[1 \ -2 \ 3 \ 1 \ 2] \circledast [3 \ 2 \ 1 \ 0 \ 0] = [8 \ -2 \ 6 \ 7 \ 11]$$

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## Example 2

- We now know that

$$[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2] * [3 \ 2 \ 1] = [3 \ 8 \ 8 \ -2 \ 6 \ 7 \ 11 \ 5 \ 2]$$

Cyclic Prefix

$$[1 \ -2 \ 3 \ 1 \ 2] \circledast [3 \ 2 \ 1 \ 0 \ 0]$$

- Similarly, you may check that

$$[-2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1] * [3 \ 2 \ 1] = [-6 \ -1 \ 6 \ 8 \ -5 \ -11 \ -4 \ 0 \ 1]$$

Cyclic Prefix

$$[2 \ 1 \ -3 \ -2 \ 1] \circledast [3 \ 2 \ 1 \ 0 \ 0]$$

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## Example 3

- We know, from Example 2, that

$$[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2] * [3 \ 2 \ 1] = [3 \ 8 \ 8 \ -2 \ 6 \ 7 \ 11 \ 5 \ 2]$$

And that

$$[-2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1] * [3 \ 2 \ 1] = [-6 \ -1 \ 6 \ 8 \ -5 \ -11 \ -4 \ 0 \ 1]$$

- Check that

$$\begin{bmatrix} 1 & 2 & 1 & -2 & 3 & 1 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 3 & 8 & 8 & -2 & 6 & 7 & 11 & 5 & 2 & 0 & 0 & 0 & 0 \end{bmatrix} * [3 \ 2 \ 1]$$

and

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & -2 & 1 & 2 & 1 & -3 & -2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & -6 & -1 & 6 & 8 & -5 & -11 & -4 \end{bmatrix} * [3 \ 2 \ 1]$$

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## Putting results together...

- Suppose  $\underline{x}^{(1)} = [1 \ -2 \ 3 \ 1 \ 2]$  and  $\underline{x}^{(2)} = [2 \ 1 \ -3 \ -2 \ 1]$
- Suppose  $\underline{h} = [3 \ 2 \ 1]$
- At the receiver, we want to get
  - $[1 \ -2 \ 3 \ 1 \ 2] \otimes [3 \ 2 \ 1 \ 0 \ 0] = [8 \ -2 \ 6 \ 7 \ 11]$
  - $[2 \ 1 \ -3 \ -2 \ 1] \otimes [3 \ 2 \ 1 \ 0 \ 0] = [6 \ 8 \ -5 \ -11 \ -4]$
- We transmit  $[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2 \ -2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1]$ .  
 Cyclic prefix                      Cyclic prefix

- At the receiver, we get

$$[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2 \ -2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1] * [3 \ 2 \ 1] = [3 \ 8 \ 8 \ -2 \ 6 \ 7 \ 11 \ -1 \ 1 \ 6 \ 8 \ -5 \ -11 \ -4 \ 0 \ 1]$$

Junk! To be thrown away by the receiver.

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## Example 4

- We know that

$$[1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2] * [3 \ 2 \ 1] = [3 \ 8 \ 8 \ -2 \ 6 \ 7 \ 11 \ 5 \ 2]$$

$$[-2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1] * [3 \ 2 \ 1] = [-6 \ -1 \ 6 \ 8 \ -5 \ -11 \ -4 \ 0 \ 1]$$

- Using Example 3, we have

$$\begin{aligned} & [1 \ 2 \ 1 \ -2 \ 3 \ 1 \ 2 \ -2 \ 1 \ 2 \ 1 \ -3 \ -2 \ 1] * [3 \ 2 \ 1] \\ = & \left( \begin{bmatrix} 1 & 2 & 1 & -2 & 3 & 1 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 3 & 8 & 8 & -2 & 6 & 7 & 11 & 5 & 2 & 0 & 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & -2 & 1 & 2 & 1 & -3 & -2 & 1 \end{bmatrix} \right) * [3 \ 2 \ 1] \\ = & \begin{bmatrix} 3 & 8 & 8 & -2 & 6 & 7 & 11 & 5 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -6 & -1 & 6 & 8 & -5 & -11 & -4 \end{bmatrix} \\ = & [3 \ 8 \ 8 \ -2 \ 6 \ 7 \ 11 \ -1 \ 1 \ 6 \ 8 \ -5 \ -11 \ -4 \ 0 \ 1] \end{aligned}$$

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## Circular Convolution: Key Properties

- Consider an  $N$ -point signal  $x[n]$
- Cyclic Prefix (CP) insertion:** If  $x[n]$  is extended by copying the last  $V$  samples of the symbols at the beginning of the symbol:

$$\hat{x}[n] = \begin{cases} x[n], & 0 \leq n \leq N-1 \\ x[n+N], & -V \leq n \leq -1 \end{cases}$$

- Key Property 1:

$$\{h \otimes x\}[n] = (h * \hat{x})[n] \text{ for } 0 \leq n \leq N-1$$

- Key Property 2:

$$\{h \otimes x\}[n] \xrightarrow{\text{FFT}} H_k X_k$$

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## OFDM with CP for Channel w/ Memory

- To send  $N$  samples  $\mathbf{S} = (S_0, S_1, \dots, S_{N-1})$
- First apply IFFT with scaling by  $\sqrt{N}$ :  $\tilde{\mathbf{s}} = \sqrt{N} \text{IFFT}(\mathbf{S})$
- Then, add **cyclic prefix**

$$\mathbf{x} = [\tilde{s}[N-\nu], \dots, \tilde{s}[N-1], \tilde{s}[0], \dots, \tilde{s}[N-1]]$$
- This is inputted to the channel
- The channel output is  $\mathbf{y} = \mathbf{x} * \mathbf{h}$  which can be viewed as
$$\mathbf{y} = [p[N-\nu], \dots, p[N-1], r[0], \dots, r[N-1]]$$
- Remove cyclic prefix to get  $\mathbf{r}$ . (We know that  $\mathbf{r} = \tilde{\mathbf{s}} \otimes \mathbf{h}$ .)
- Then apply FFT with scaling by  $1/\sqrt{N}$ :  $\tilde{\mathbf{R}} = \frac{1}{\sqrt{N}} \text{FFT}(\mathbf{r})$
- By circular convolution property of DFT,

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$$\mathbf{r} = \tilde{\mathbf{s}} \otimes \mathbf{h} \rightarrow R_k = H_k \tilde{S}_k \rightarrow \tilde{R}_k = H_k S_k \rightarrow S_k = \frac{\tilde{R}_k}{H_k} \quad \text{No ICI!}$$

## MATLAB Example (2/2)

```
%-----
% Convolve with channel
y = conv(x,h);
H = fft([h zeros(1,N-v-1)]);
%-----
% OFDM receiver
y = y(1:((N+v)*n));
yt = reshape(y,(N+v),n).';

% Reshape matrix for easier
% removal of cyclic prefix
% Eliminate junk (cyclic prefix)
% Calculate the FFT with scaling

r = yt(:,v+1:v+N);
Rt = (1/sqrt(N))*fft(r,[],2);

% "Equalization"
S_hatt = zeros(size(Rt));
for i=1:length(H)
    S_hatt(:,i) = Rt(:,i)/H(i);
end
S_hat = reshape(S_hatt.',1,N*n)
```

**FFT w/ scaling (row-wise)**

**Shatt =**

1	-1	2	4
5	-1	2	-3

**S\_hat = [1 -1 2 4 5 -1 2 -3]**

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## MATLAB Example (1/2)

```
S = [1 -1 2 4 5 -1 2 -3]; % data stream
h = [1 0.3 0.1];

% OFDM transmitter
N = 4; % Number of data symbols per OFDM symbol
n = length(S)/N; % Number of data blocks
St = (reshape(S,N,n)).'; % Reshape stream to matrix for
% easier addition of cyclic prefix
st = (sqrt(N))*ifft(St,[],2); % Calculate the IFFT with scaling

v = length(h)-1;
xt = [st(:,(N-(v-1)):N) st]; % Add Cyclic Prefix
x = (reshape(xt.',((N+v)*n),1)).'; % Reshape back to stream

x =
0.0 + 0.0i -0.5 + 2.5i 3.0 + 0.0i -0.5 - 2.5i 0.0 + 0.0i -0.5 + 2.5i 5.5 + 0.0i 1.5 - 1.0i 1.5 + 0.0i
1.5 + 1.0i 5.5 + 0.0i 1.5 - 1.0i
```

**IFFT w/ scaling (row-wise)**

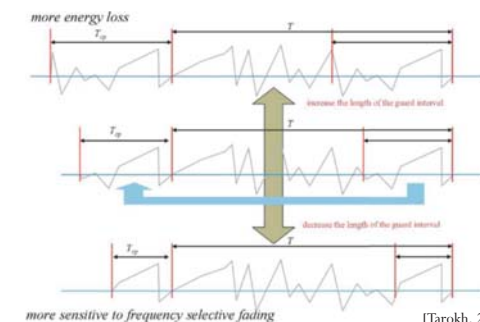
**st =**

3.0 + 0.0i	-0.5 - 2.5i	0.0 + 0.0i	-0.5 + 2.5i
1.5 + 0.0i	1.5 + 1.0i	5.5 + 0.0i	1.5 - 1.0i

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## OFDM System Design: CP

- A good ratio between the CP interval and symbol duration should be found, so that all multipaths are resolved and not significant amount of energy is lost due to CP.
- As a thumb rule, the CP interval must be two to four times larger than the root mean square (RMS) delay spread.



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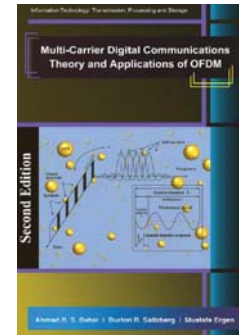
## Summary

- The CP at the beginning of each block has two main functions.
- As guard interval, it prevents contamination of a block by ISI from the previous block.
- It makes the received block appear to be periodic of period  $N$ .
  - Turn regular convolution into circular convolution
  - Point-wise multiplication in the frequency domain

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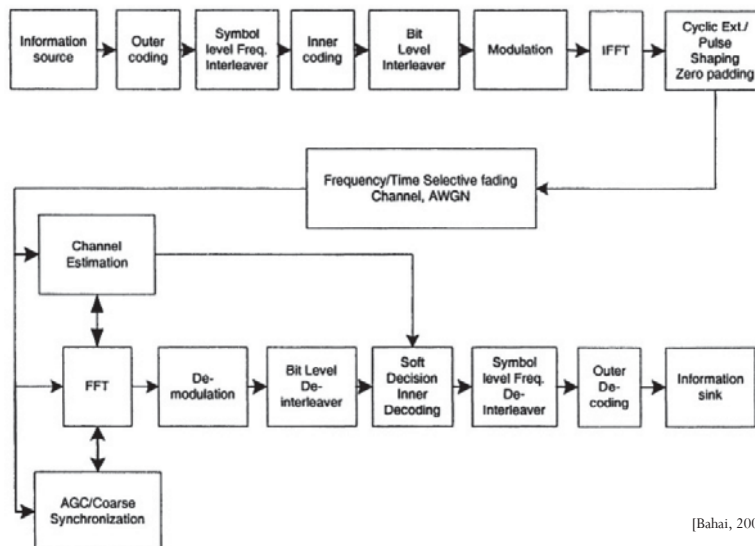
## Reference

- A. Bahai, B. R. Saltzberg, and M. Ergen, *Multi-Carrier Digital Communications: Theory and Applications of OFDM*, 2nd ed., New York: Springer Verlag, 2004.



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## OFDM Architecture



[Bahai, 2002, Fig 1.11]

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